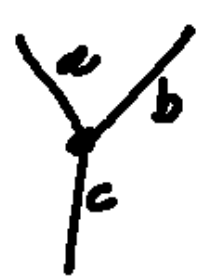


Colorings, Penrose Evaluations and Multi-Virtual Knots & Links

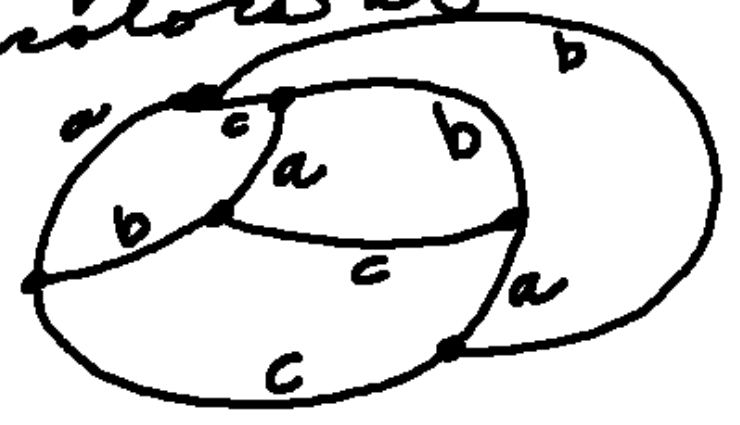
Louis H. Kauffman, UC

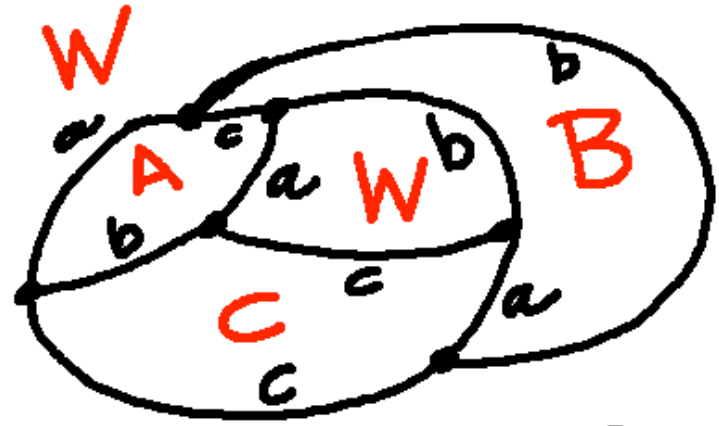
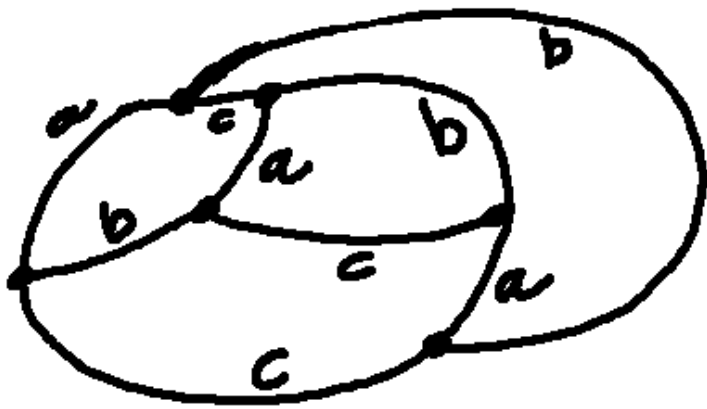
Recall problem of 3-coloring
the edges of a cubic graph.



- 3 colors $\{a, b, c\}$
- all distinct
- require 3 distinct colors at each node.

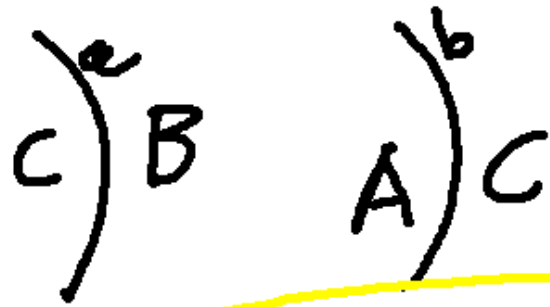
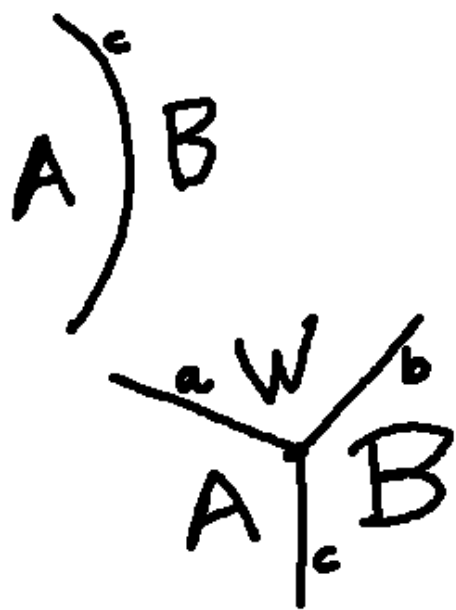
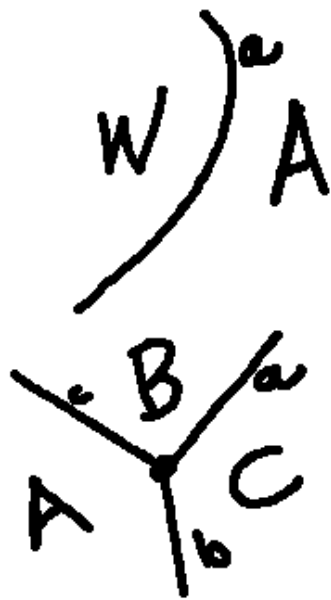
e.g.





$$G = \{W, A, B, C \mid W = \text{id}, AB = BA = C \text{ } \partial \} \cong \mathbb{Z}_2 \oplus \mathbb{Z}_2$$

$$A^2 = B^2 = C^2 = W$$



Four Color Thm
 \iff
 cubic 1-connected
 planar are
 edge 3-colorable



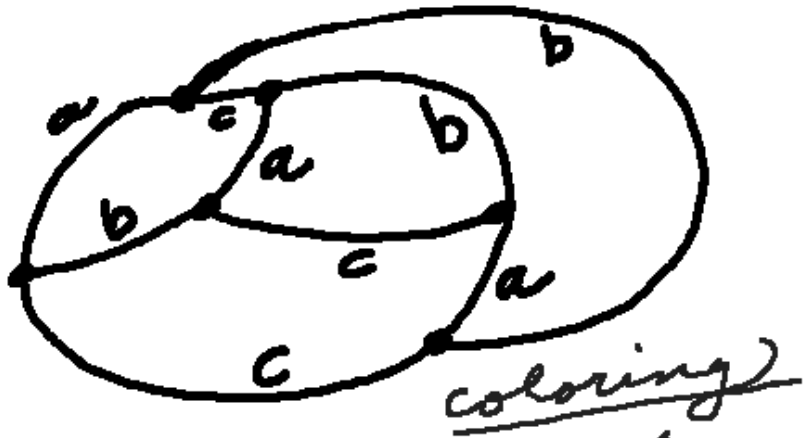
Choose one color (say c) and mark all c edges.

The result is an ^{even} perfect matching for the graph G. (Every node taken by the selected edges. Selected edges are disjoint.)

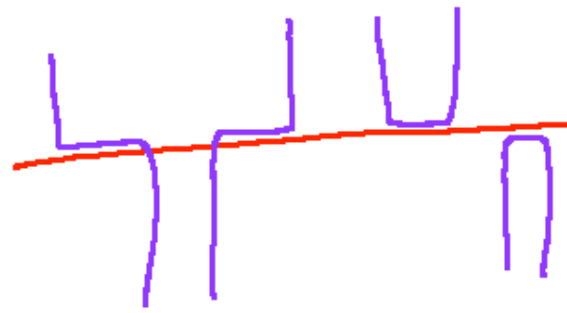
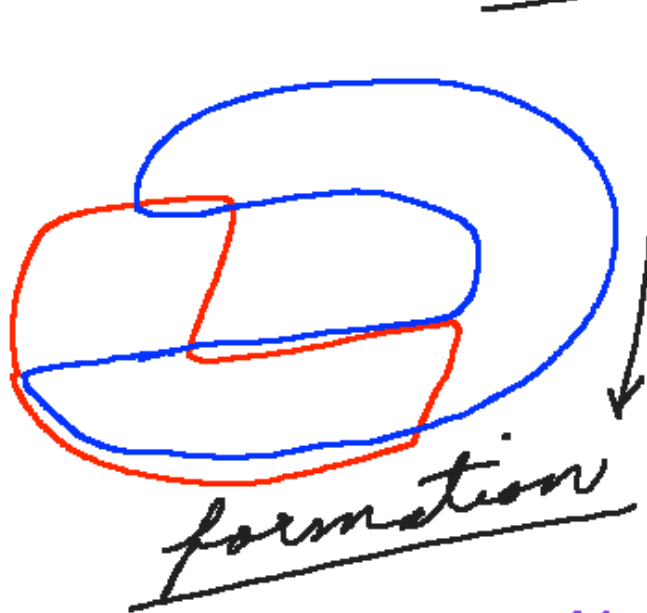


Even PM: Every cycle in $G - (PM \text{ edges})$ is an even cycle.

Nota Bene: G is 3-colorable $\iff G$ has an even PM.



Let $a = \text{red}$
 $b = \text{blue}$
 $c = \text{purple}$
 \parallel
 red/blue



How red
 meets blue.

One can directly construct infinitely
 many formations. $\text{CT} \Rightarrow$ permutations
 include all plane \pm some subic
 graphs.

The Perrowe Formula

$$[\chi] = [\cup] - [\otimes]$$

$$[\circ] = 3$$

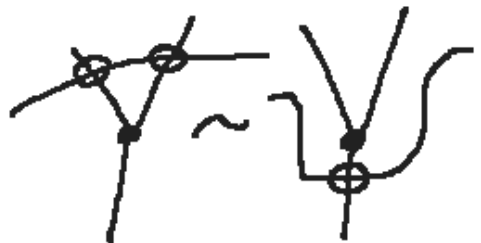
Compute recursively.

Perrowe Theorem. \mathcal{G} cubic plane graph $\Rightarrow [\mathcal{G}] = \#$ of 3 colorings of \mathcal{G} .

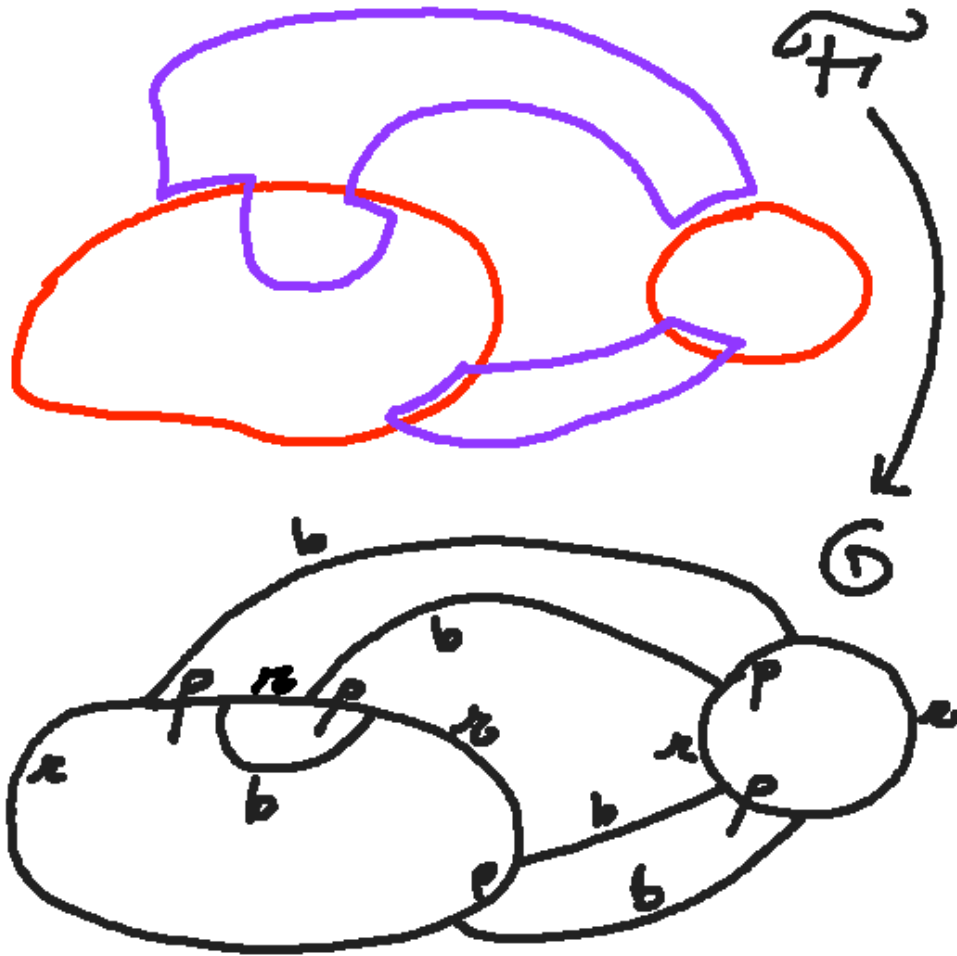
e.g. $[\text{---}] = [\text{---}] - [\text{---}] = \phi$

$$[\text{---}] = [\text{---}] - [\text{---}] = 3^2 - 3 = 6$$

\otimes is a virtual crossing



Formation

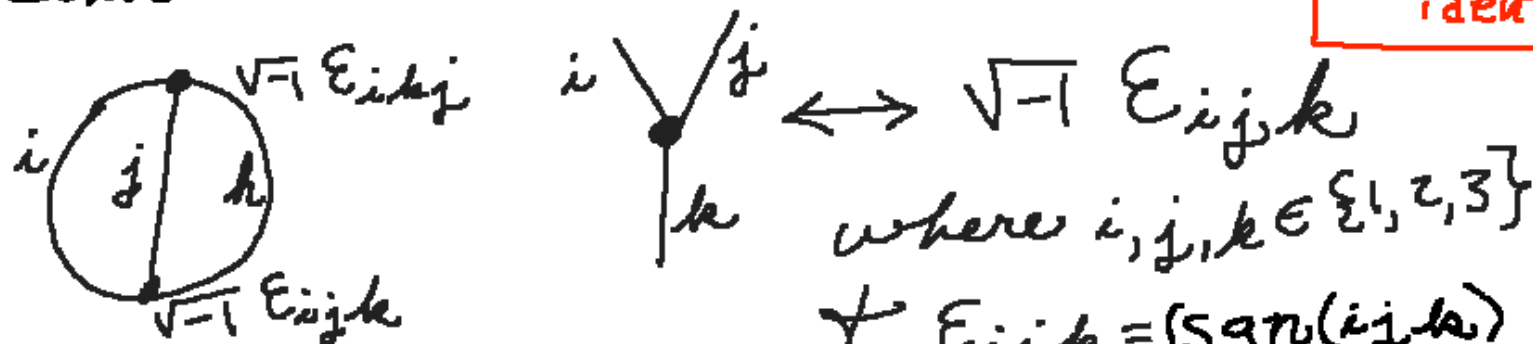


Four Color
Theorem
|||
Every
1-connected
planar G
can be
formatted

Thus $4CT \iff [G] \neq \emptyset$ whenever G is plane cubic, conn.

Perron Definition (The Ubiquitous Epsilon)
 Convert G to a tensor net & contract.

$\begin{matrix} \diagup & & \diagdown \\ \diagdown & & \diagup \end{matrix} = (-1) \begin{matrix} \diagdown & & \diagup \\ \diagup & & \diagdown \end{matrix}$
 is a tensor identity



$\forall E_{ijk} = \begin{cases} \text{sgn}(ijk) & \text{if all distinct.} \\ 0 & \text{if not a permutation of } 123. \end{cases}$

$$[G] = \text{Contraction of Tensor Net } (G)$$

$$= \sum_{\sigma \in \text{nodes of } G} \prod (\pm \sqrt{-1}) = \langle \sigma \rangle$$

Show: $\langle \sigma \rangle = +1$
 for each coloring σ .

Algebraic Remarks

$$(a \times b)_k = \sum_{i,j} \epsilon_{ijk} a_i b_j$$

$$= \epsilon_{ijk}$$

$$a \times b = a \times b \quad \text{Vector cross product}$$

$$= \sqrt{-1} \epsilon_{ijk}$$

$$= - \left(\text{Diagram of a Y-junction with two incoming lines labeled i and j, and one outgoing line labeled k. A small circle is at the junction.} \right)$$

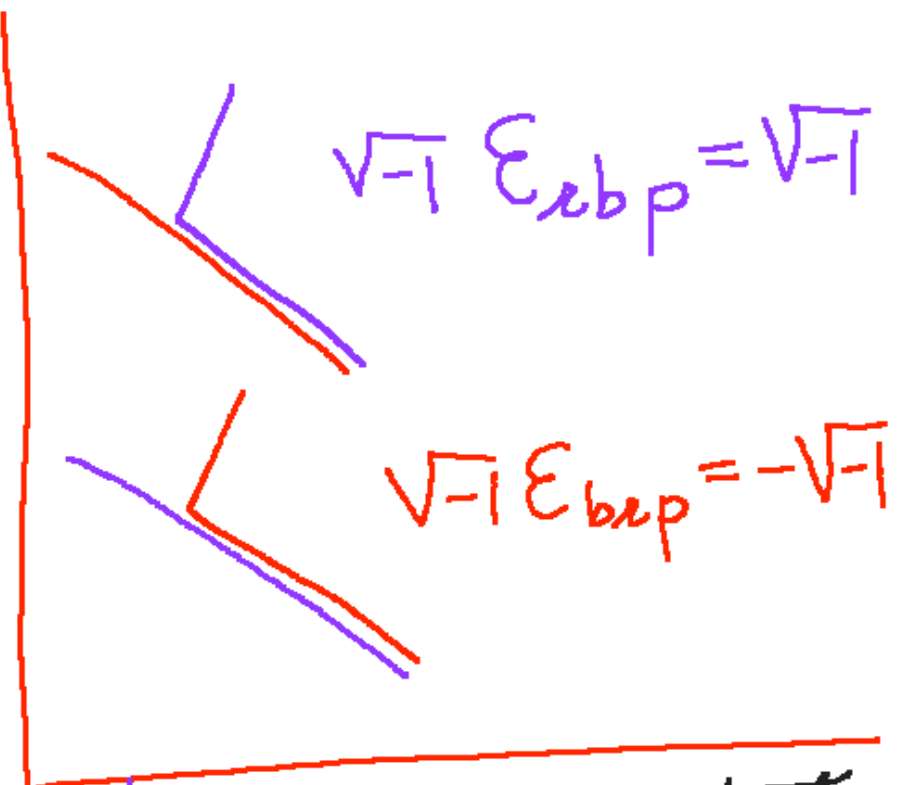
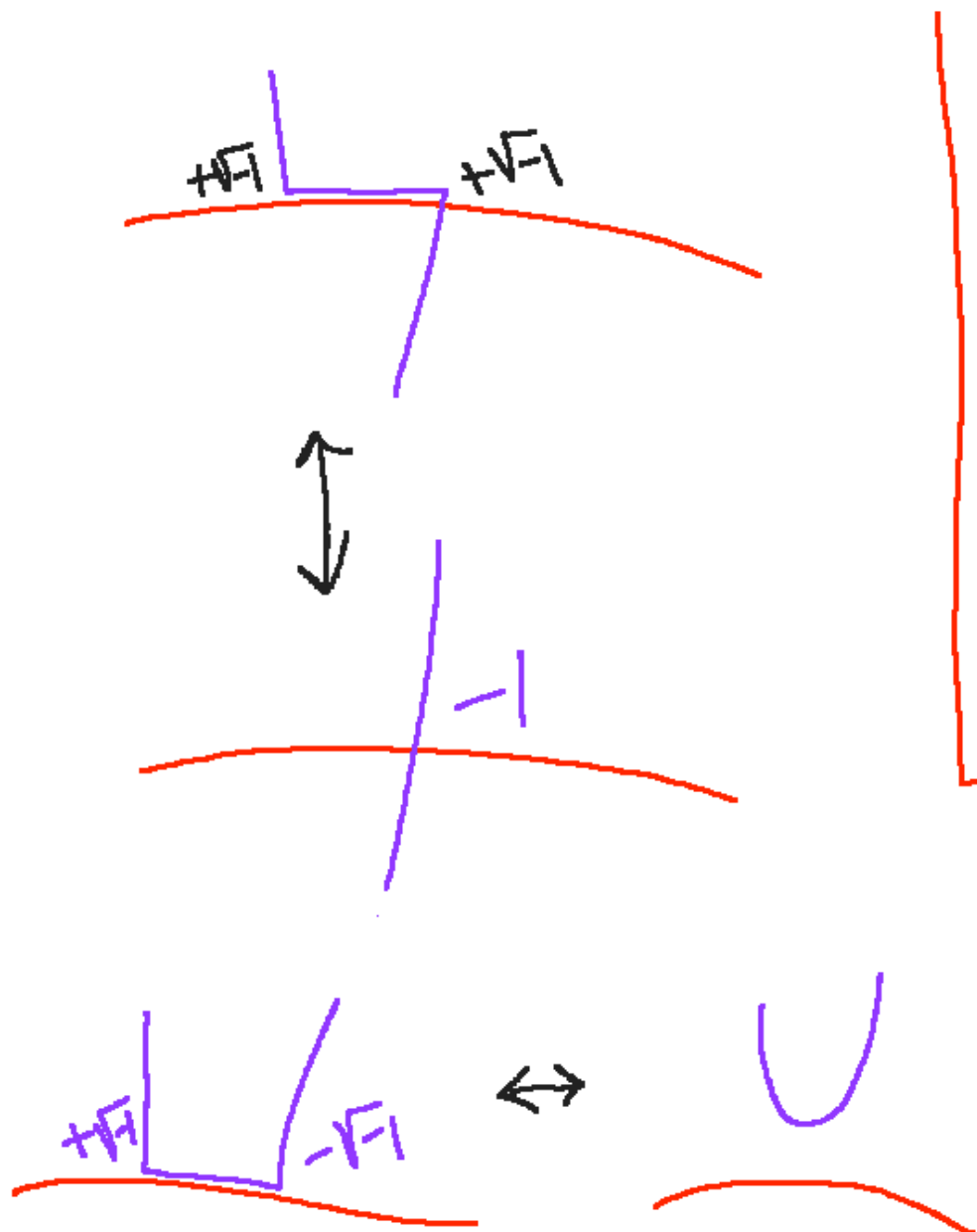
epsilon identity

$$= \left(\text{Diagram of a Y-junction with two incoming lines labeled i and j, and one outgoing line labeled k. A small circle is at the junction.} \right)$$

Peirce Identity

4CT \leftrightarrow solvability of equations ($\neq 0$)
of type $(a \times b) \times (c \times d) = (a \times (b \times c)) \times d$
over vector cross product
algebra $\{i, j, k\}$.

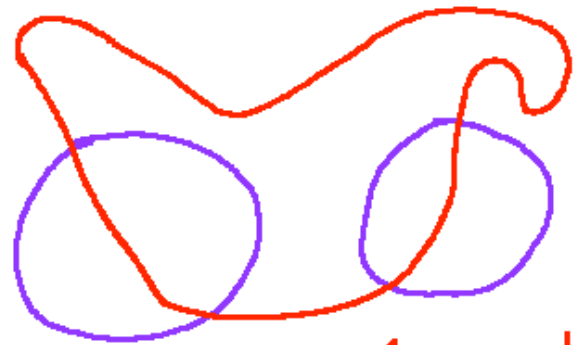
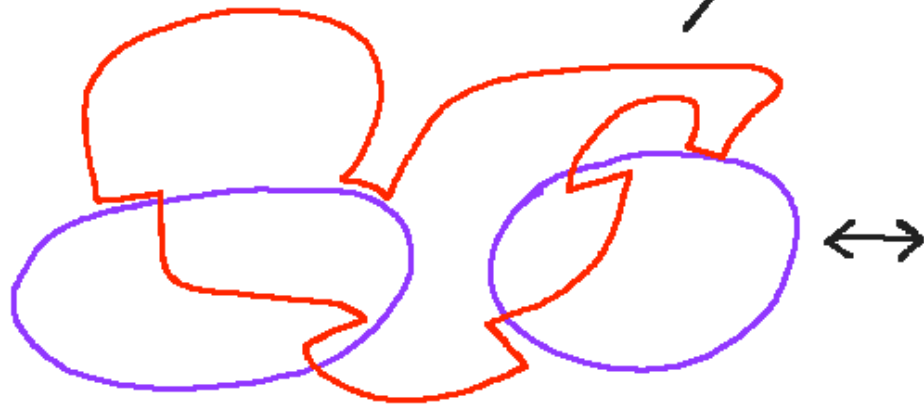
\leftrightarrow non-zero products in quaternions.



Thus the product of $\pm\sqrt{-1}$'s for a crossing $= (-1)^n$ where $n = \# \text{ crossings} = \# \text{ "state curves"}$.

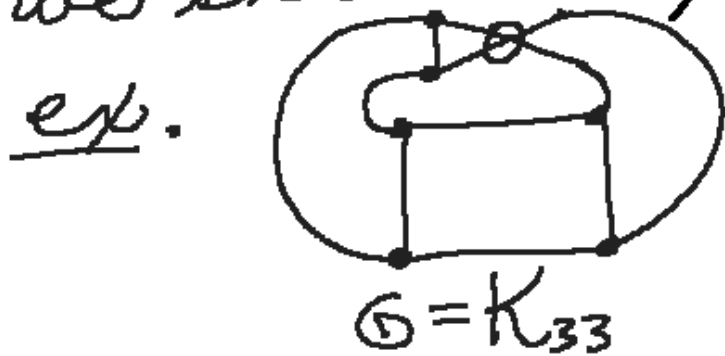
But the number of crossings
of red + blue curves is
even due to the Jordan
curve theorem.

$\therefore [\mathbb{G}] = \#$ of colorings
of \mathbb{G} when \mathbb{G} is
a plane cubic graph.








$$n=4 \Rightarrow (-1)^n = +1.$$

^{Revised}
 The formula does not work
 for nonplanar graphs, (but
 we shall fix it).



Exercise. # of 3 colorings
 of G is 12.

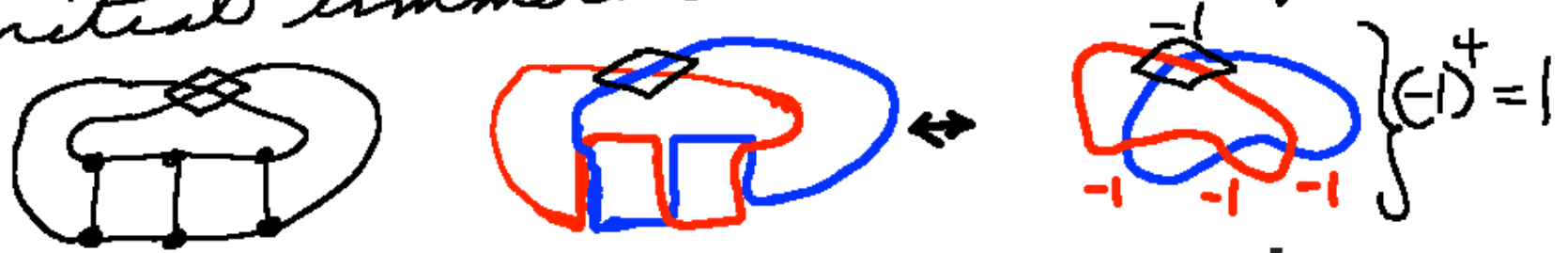
However $[G] =$  $-$  \cong 

$=$  $-$  $= \emptyset$.

Thus Revised formula gives zero
 but we would like it to give 12!

The Fix

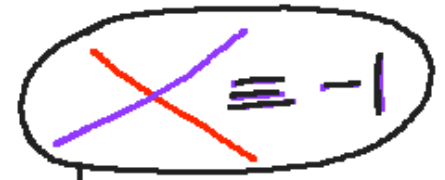
add a new tensor at the initial immersion crossings.

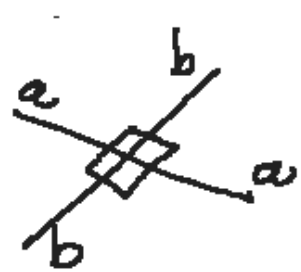


We change this to a new tensor and a new virtual marker:

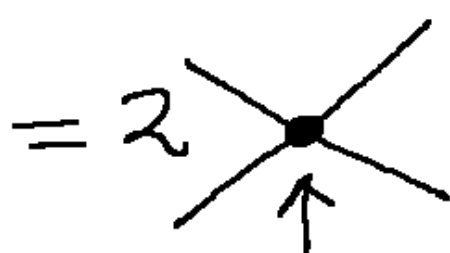
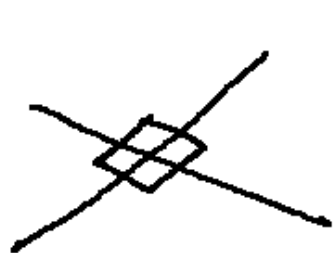
marker: \otimes "innocuous"

but $\otimes = \begin{cases} 1 & \text{if } a = b \\ -1 & \text{if } a \neq b \end{cases}$





$$= \begin{cases} 1 & \text{if } a = b \\ -1 & \text{if } a \neq b \end{cases}$$



same color

same or different color

$$[\text{X}] = [\text{Y}] - [\text{Z}]$$

$$[\text{X}] = 2[\text{Y}] - [\text{Z}]$$

$$-[\text{Z}]$$

$$[0] = 3$$

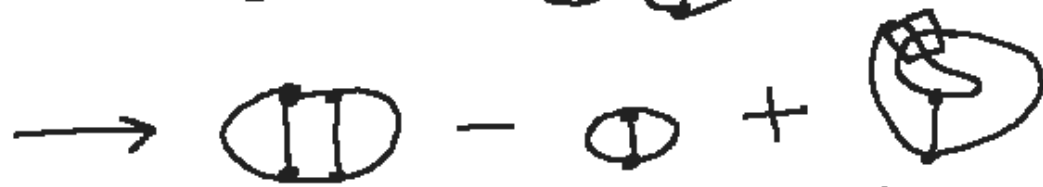
Fixed!

We now have two virtual crossings





Notation:  $\neq \parallel$



$$= 2 \times 2 \times 3 - 6 + \text{[diagram of genus-2 surface with grid and line]} - \text{[diagram of genus-2 surface with grid and line]}$$

$$= 6 + 3 - [3 - 6] = 12$$

Thus we can now formulate a general Penrose evaluation to count the number of colorings of arbitrary cubic graphs: Use an immersed representative $G \hookrightarrow \mathbb{R}^2$.

$$[Y] = [\text{Y-shape}] - [\text{X-shape}]$$

$$[O] = 3$$

$$[\text{cross}] = \begin{cases} 1 & a=b \\ -1 & a \neq b \end{cases}$$

In context or a doubled virtual crossing context.

have a separate chromatic computation.

Note that structures like



Onward ~~2~~

1. Generalized Penrose
Polynomials for graphs
with a perfect matching

2. Generalized doubled
virtual knot theory.

Relation between 1) & 2)

Generalize Penrose evaluation

to a polynomial.

Try $[X] = [O] - [X]$ but $[O] = \delta$.

Then evaluation depends upon
choice of perfect matching.

So let \mathcal{G} be given a perfect matching and define an expansion via

$$\boxed{\text{Y}} = \boxed{\text{)}\text{(}} - \boxed{\text{X}}$$

$$\boxed{\text{O}} = \delta$$

any = same + diff

~~X~~ = same - diff

= 2(same) - any

= 2~~X~~ - ~~X~~

and we need to explain handling $\text{O} \text{ X}$: we want $\delta - \delta(\delta - 1) = 2\delta - \delta^2$

Let ~~X~~ mean "same" so that

$$\text{O} \text{ X} = \delta. \text{ Let } \del{X} = 2\text{X} - \del{X}$$

e.g. $\text{O} \text{ X} \text{ X} = 2 \text{O} \text{ X} - \text{O} \text{ X} \text{ X} = 2\delta - \delta^2$

$$\boxed{\Omega \rightarrow \bigcirc - \text{figure} = (\delta-1) \sim}$$

$$\begin{aligned}
 &\rightarrow \text{cap} - \text{cylinder with dot} \\
 &= (\delta-1) \bigcirc - \text{torus} + \text{genus-2 surface} \\
 &= (\delta-1) \delta^2 - \delta^2 + \delta^2 \\
 &= 2\delta^2 - 2\delta^2
 \end{aligned}$$

Perfect
Matching
Polynomials

$$\begin{aligned}
 &\rightarrow (\delta-1)^2 \bigcirc = (\delta-1)^2 \delta^2 \\
 &= \delta^3 - 2\delta^2 + \delta^2
 \end{aligned}$$

N.B. $2 \cdot 3^2 - 2 \cdot 3 = 18 - 6 = 12$

$27 - 18 + 3 = 12$

Agreement at $\delta = 3$.

Let's work with

$$\begin{array}{c} \diagup \\ \text{---} \\ \diagdown \end{array} = (-) \begin{array}{c} \diagdown \\ \text{---} \\ \diagup \end{array}$$

$$O = \delta = n \in \{3, 4, 5, 6, 7, \dots\}$$

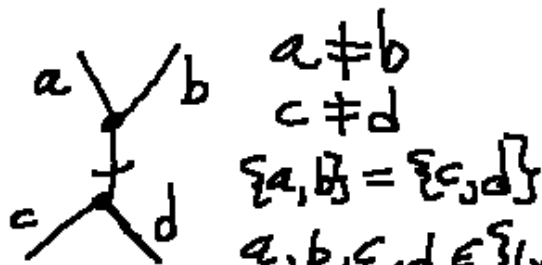
$$\otimes = 2 \begin{array}{c} \diagup \\ \text{---} \\ \diagdown \end{array} - \begin{array}{c} \diagdown \\ \text{---} \\ \diagup \end{array}$$

and call the poly in n , $[G]$.

(See paper Scott Baldridge, LK, Ben McCarty)

We relate $[G]$ to a homology theory and we interpret $[G]$ as a coloring count.

We discuss here the counting.



$a \neq b$
 $c \neq d$
 $\{a, b\} = \{c, d\}$
 $a, b, c, d \in \{1, 2, \dots, n\}$

n colors

} Color
 Condition
 for a given
 perfect matching.

Tautology

$$\left\{ \begin{array}{c} \diagup \\ \text{---} \\ \diagdown \end{array} \right\} = \left\{ \begin{array}{c} \diagdown \\ \text{---} \\ \diagup \end{array} \right\} + \left\{ \begin{array}{c} \diagup \\ \text{---} \\ \diagdown \end{array} \right\}$$

where
 $a \neq b : a \neq b$

Remark: Thinking chromatically we can say this:

$$\left\{ \begin{array}{l} \text{Y-junction} = \underbrace{(+ \text{X-junction}) - 2 \text{Z-junction}}_{\text{any - same} = \text{"different"}} \\ \bigcirc \Rightarrow n, \quad \bigodot \Rightarrow n \bigodot \end{array} \right\}$$

This is a Penrose type expansion and works for all cubic graphs.

$$\begin{array}{c} a \quad b \\ \diagdown \quad / \\ \bullet \\ | \\ \bullet \\ / \quad \diagdown \\ c \quad d \end{array} = \int_c^a \int_d^b + \int_d^a \int_c^b - 2 \int_{cd}^{ab}$$

ex: $\bigoplus = \bigcirc \bigcirc + \bigodot - 2 \bigodot = q^2 + q - 2q = q^2 - q \checkmark$

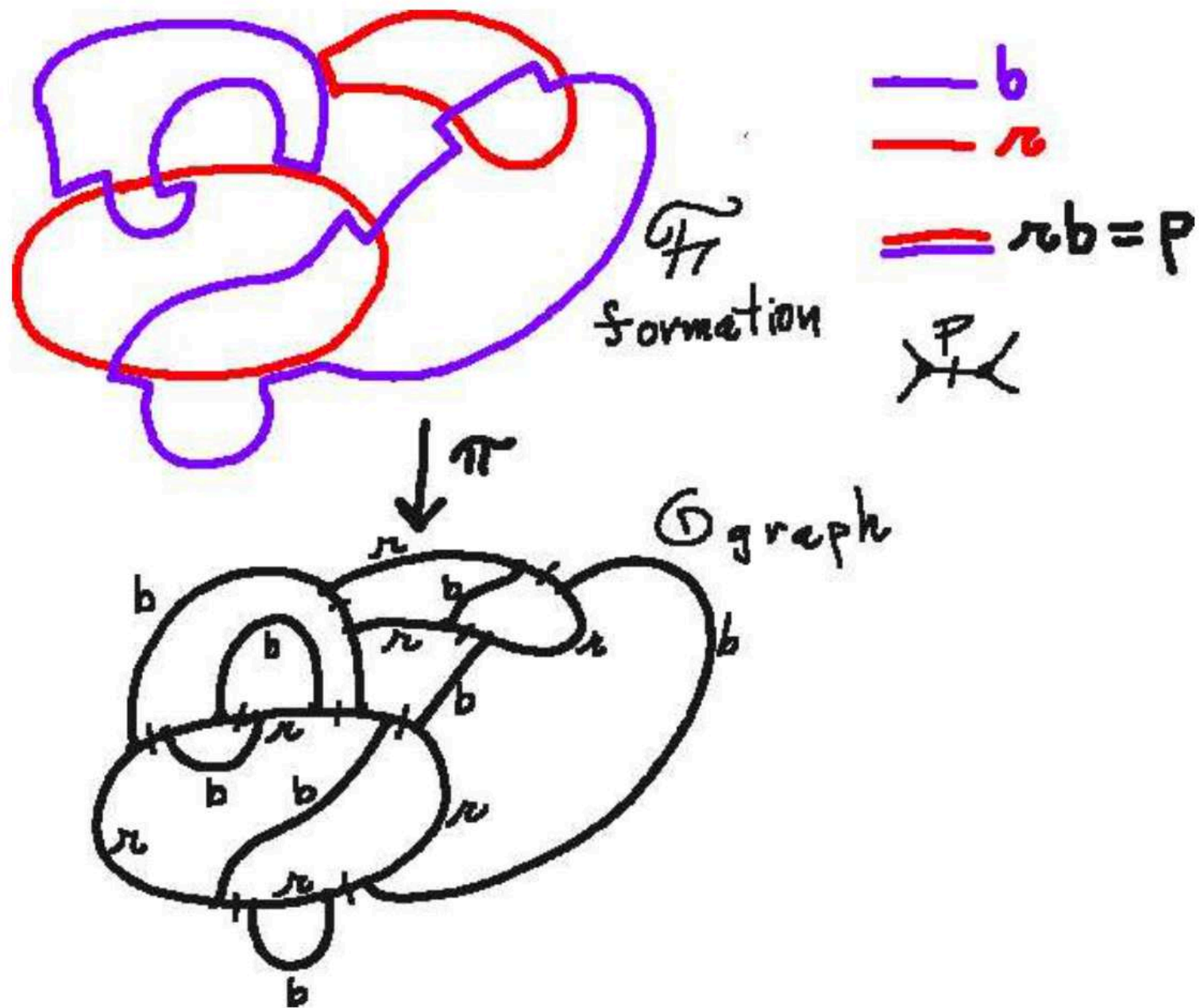


Figure 1: Standard Formation and Graph

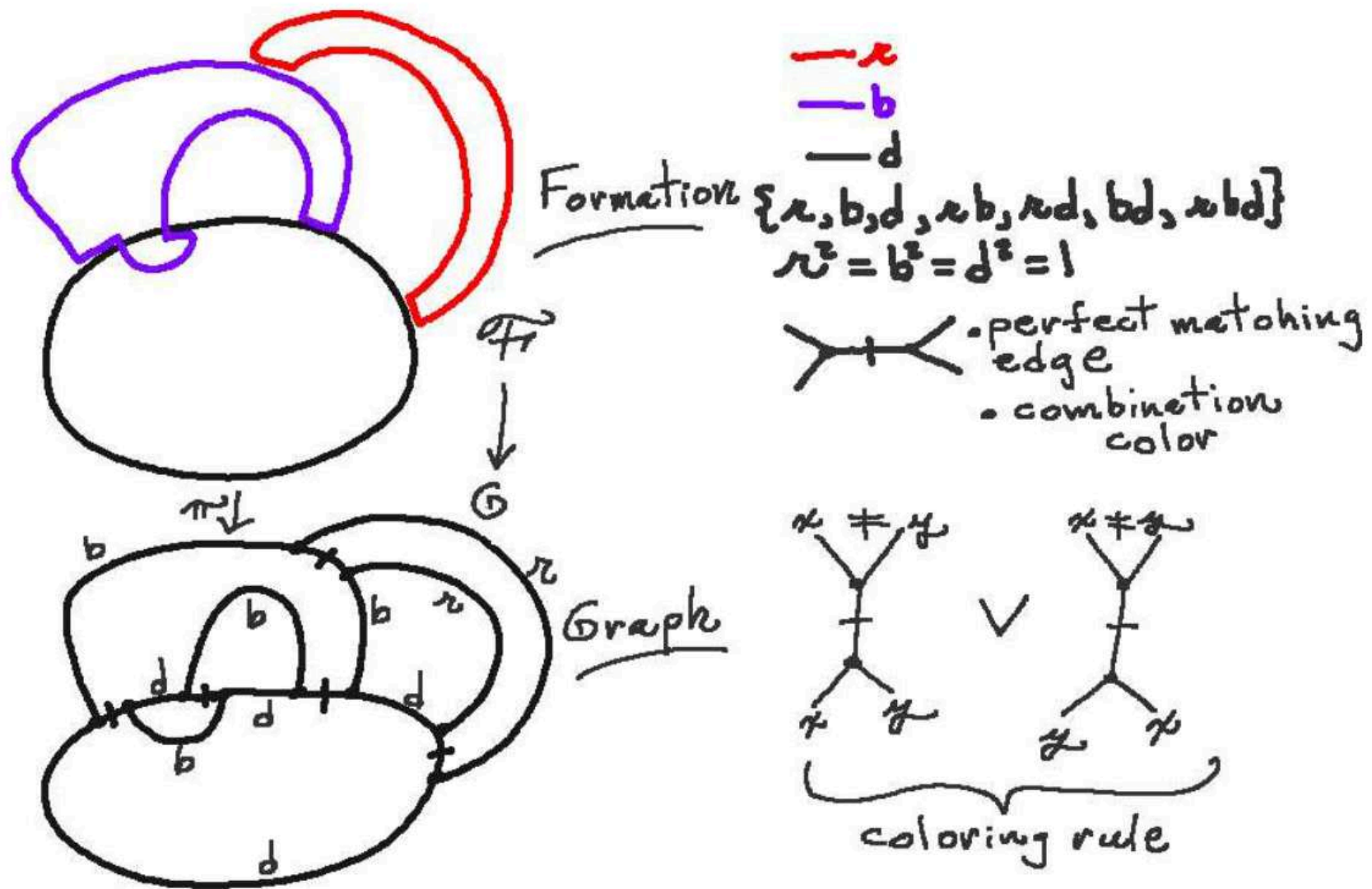
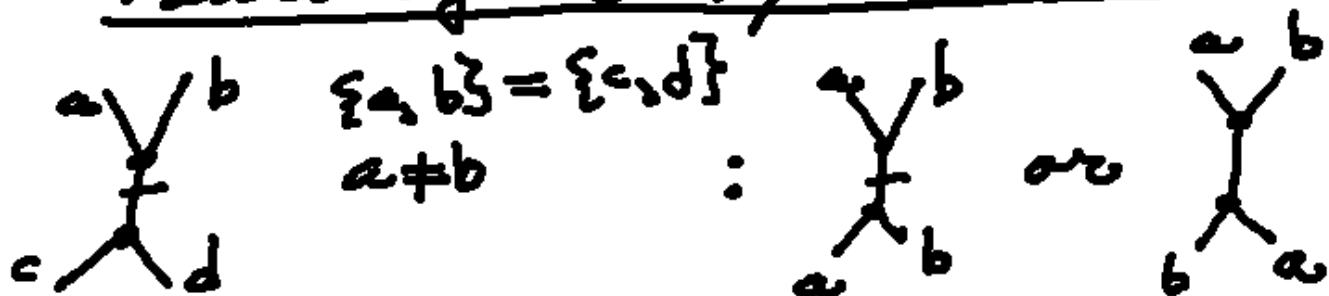


Figure 2: Generalized Formation and Graph

Tautological Expansion



$$\{X\} = \{)_{\neq}(\} + \{X_{\neq}\}$$

$a)_{\neq}(\overset{b}{\Leftrightarrow} a \neq b$

$\{G\}$ = Union of all colorings.

e.g. $\{\oplus\} = \{O \cup O\} + \{O_{\neq}\}$

$= \{O \cup O\} \Rightarrow \underline{n(n-1)} \text{ colorings}$

Compare: $[\oplus] = [OO] - [O_{\neq}] = n^2 - n$.

$$\{Y\} = \{M\} + \{X\}$$

Matching
Polynomial

Associate to a state S in this expansion a graph $\Gamma(S)$:

$$\text{Loops}(S) = \text{Nodes}(\Gamma(S))$$

$$\text{Wiggles}(S) = \text{Edges}(\Gamma(S)).$$

e.g. $\Gamma(OmO) = \bullet \text{---} \bullet$

For each state S , define

$$\{S\} = C(\Gamma(S)) = \text{chromatic poly of } \Gamma(S) \text{ where } C(\bullet) = n = \delta.$$

$$\text{Then } \{G, M\} = \sum_S C(\Gamma(S)).$$

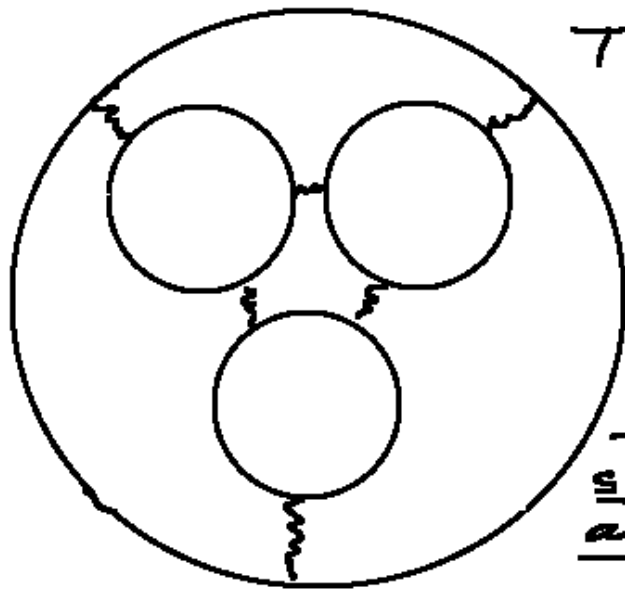
cubic graph

perfect matching on G .

$$\begin{aligned} & \{ \oplus \} \\ & \parallel \\ & \{OmO\} + \{ \infty \} \\ & \parallel \\ & C(\bullet \text{---} \bullet) + C(\emptyset) \\ & \parallel \\ & n(n-1) + \phi \\ & \parallel \\ & n(n-1) \end{aligned}$$

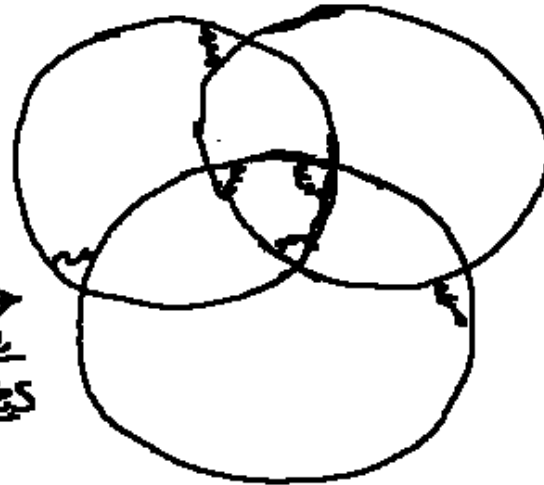
From point of view
of tautological expansion,
start with cycles, μ local sites,
possibility to switch $\mu \rightarrow \mu$.

4-GT \Leftrightarrow [planar states can be switched
to colorable states.]



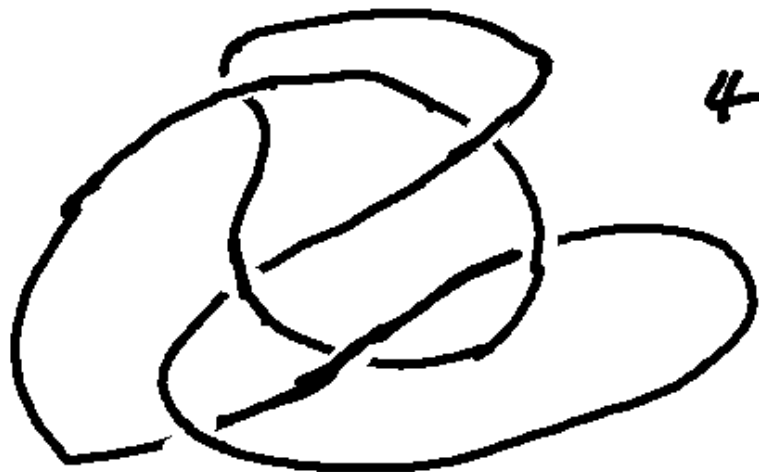
This state is $n=3$
uncolorable.

switch
all sites

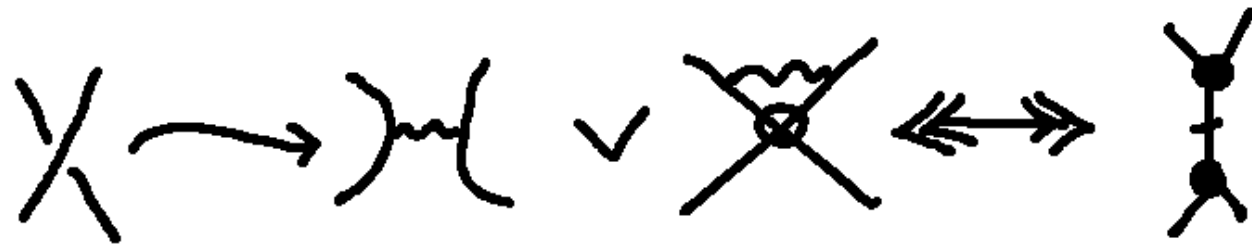


This means you
can think in terms
of knot/link diagrams.

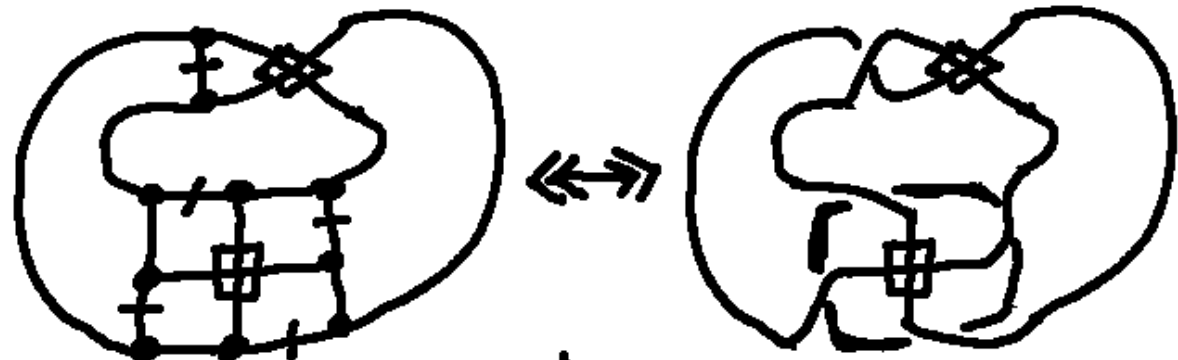
$$\cancel{X} \equiv X \rightarrow Y \cup \cancel{X}$$



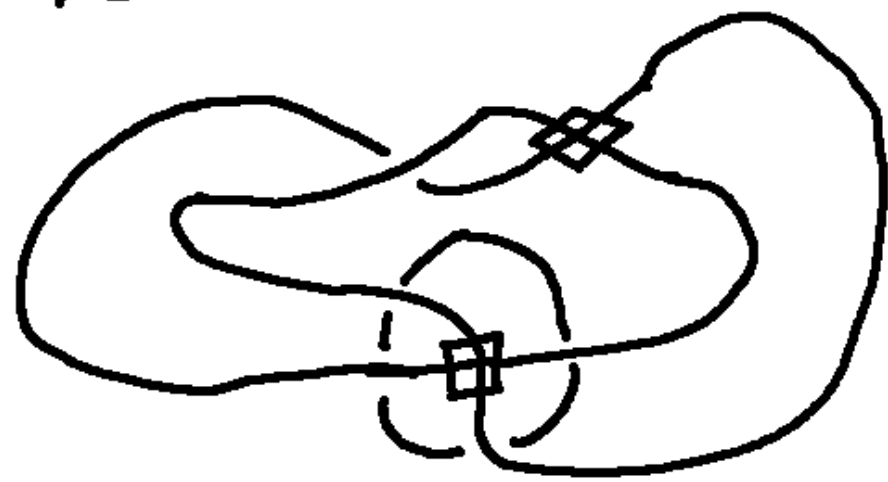
4CT says
you can color
planar
diagrams
with 3 colors.

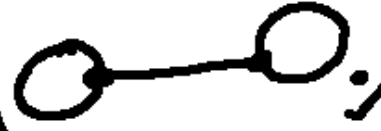


e.g.

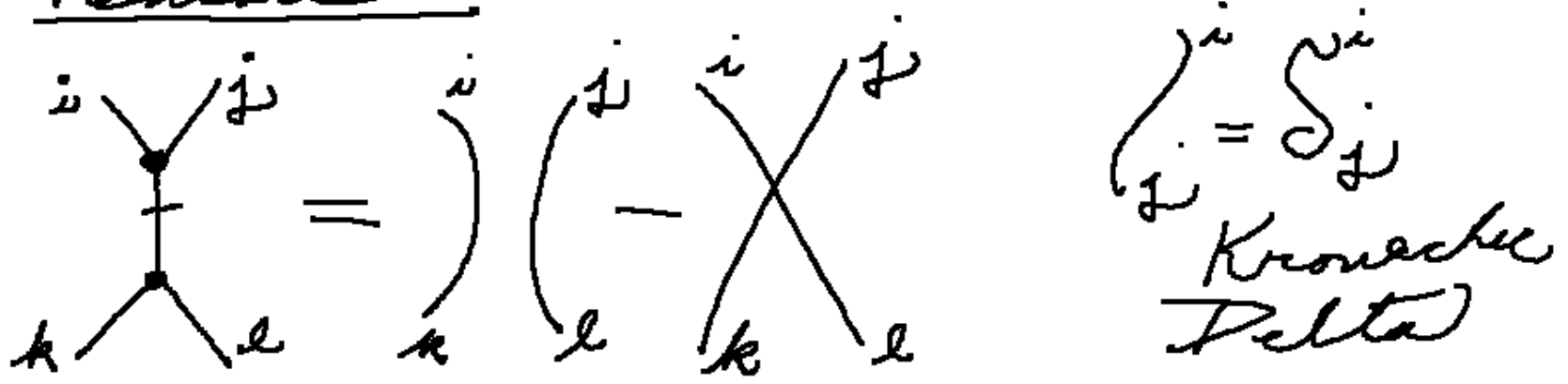


Petersen Graph

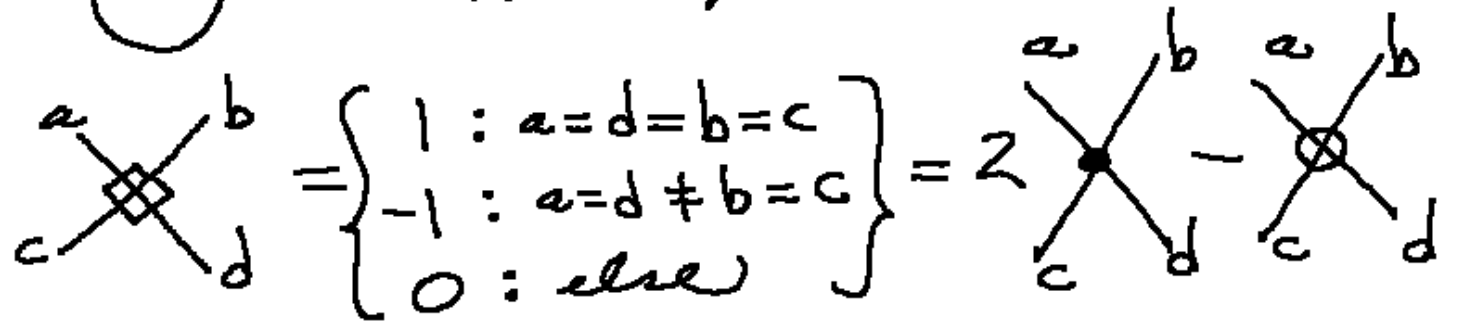


(Compare with )

Tensors



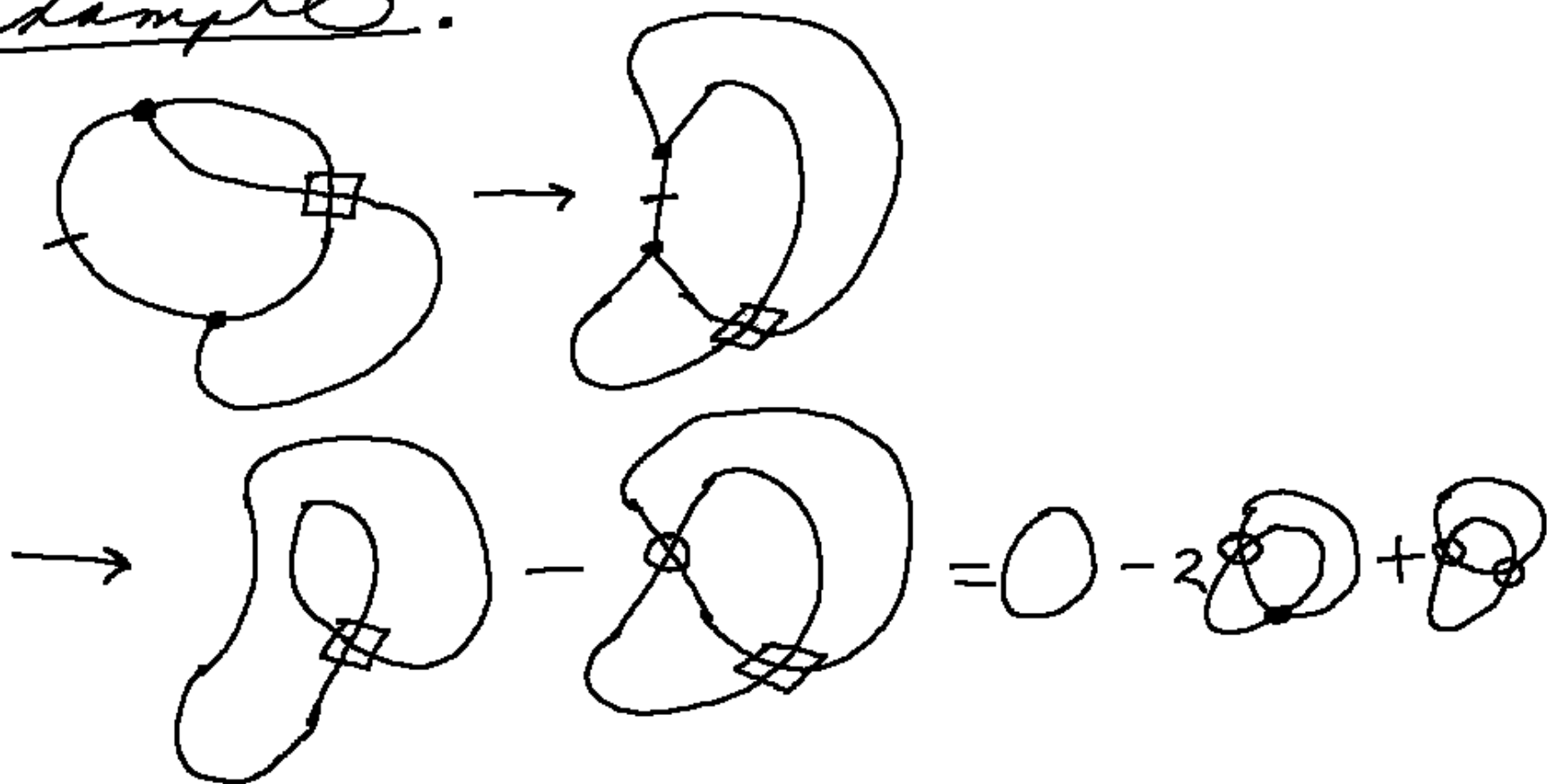
$\bigcirc = n = \text{trace of } n \times n \text{ identity matrix}$



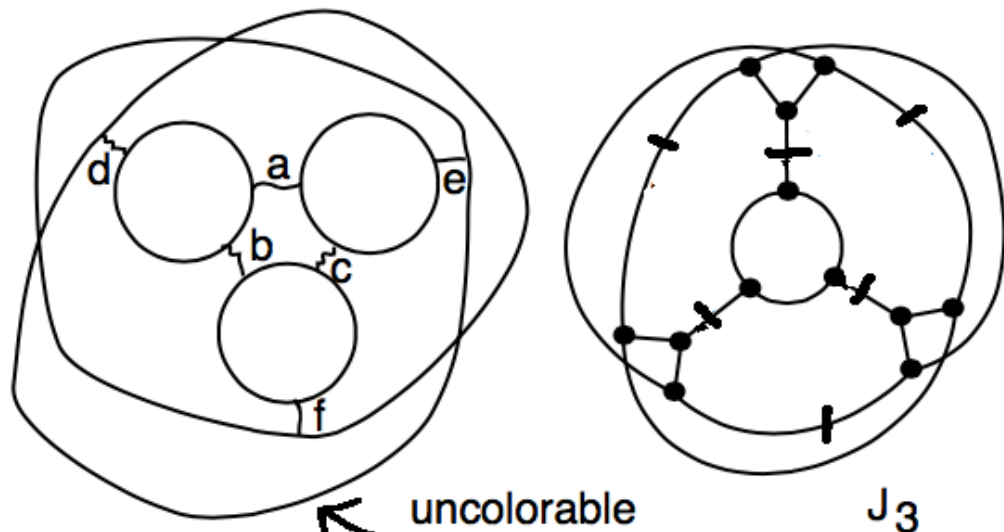
$$\Rightarrow \boxed{\times} = \boxed{\cup} - \boxed{\otimes}$$

The same arguments as before show that 1) the $\neq 0$ tensor states are all the solutions.
 & 2) each contributes +1. //

Example.



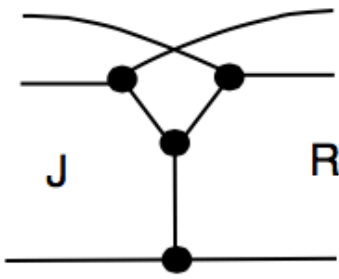
$$= n - 2n + n^2 = n(n-1).$$



J_3 is not colorable in 3 colors.

But J_3 can be colored with 4 colors.

(give outer loop a 4th color)



Rufus Isaac's J Construction.

We can examine polynomials for snarks. Here $P(J_3, n) = n(-6 + 11n - 6n^2 + n^3)$
 $= \emptyset, n=3$
 $24, n=4$

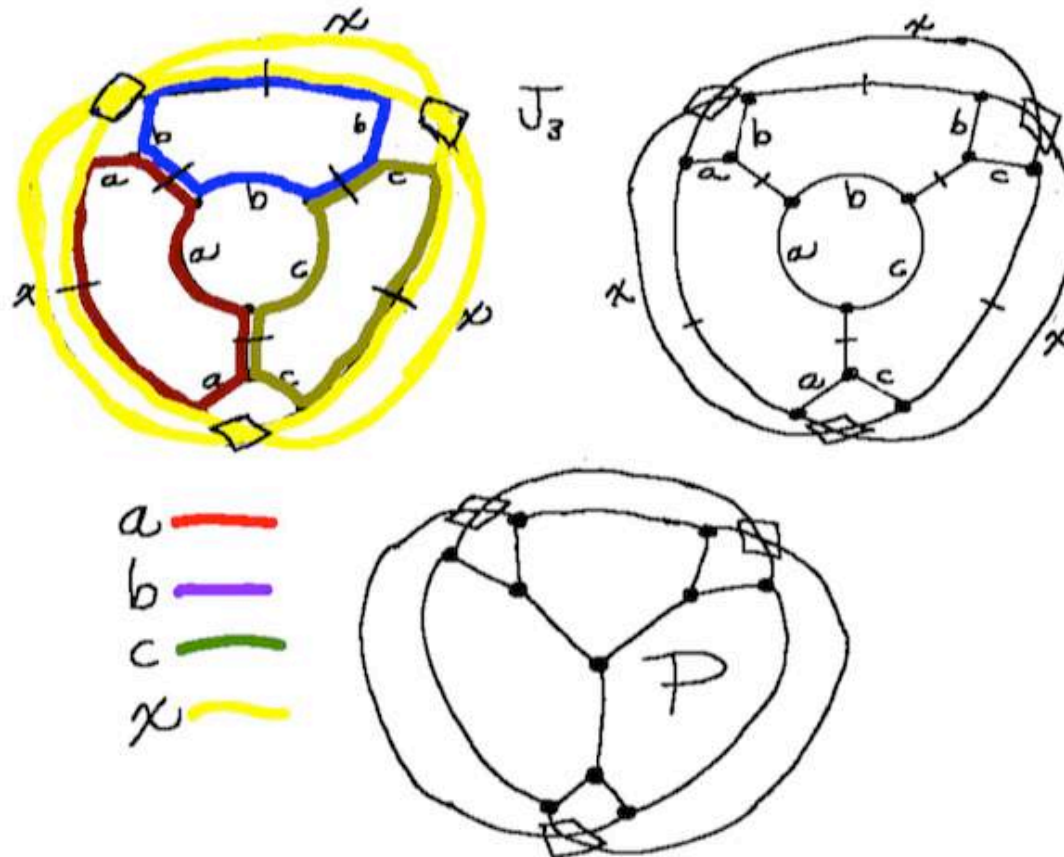
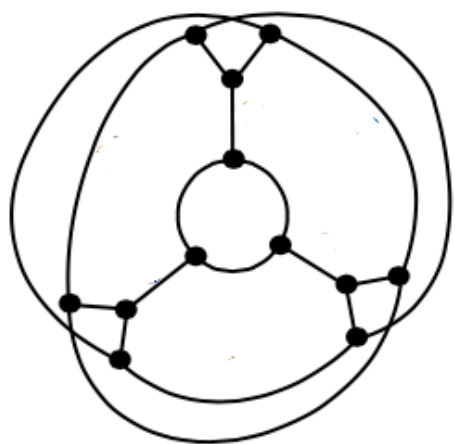
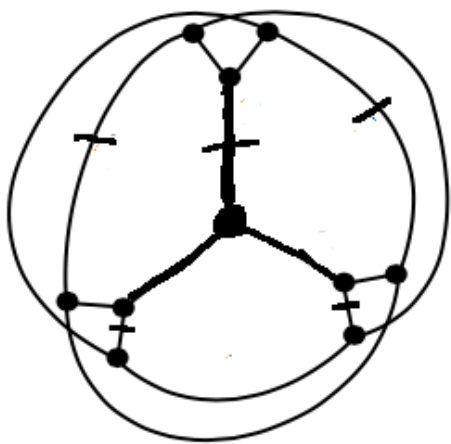


Figure 20: Isaacs J_3 can be PM-colored with four colors (but not with three colors).

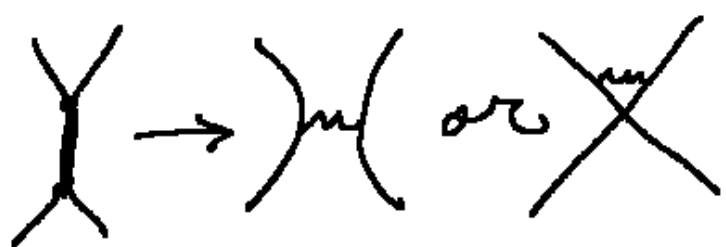


J_3



P

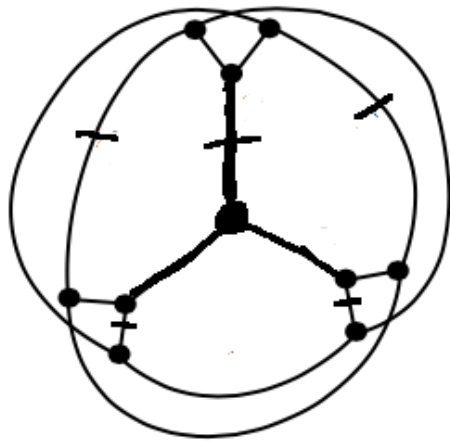
J_3 contracts to the minimal uncolorable Petersen Graph.



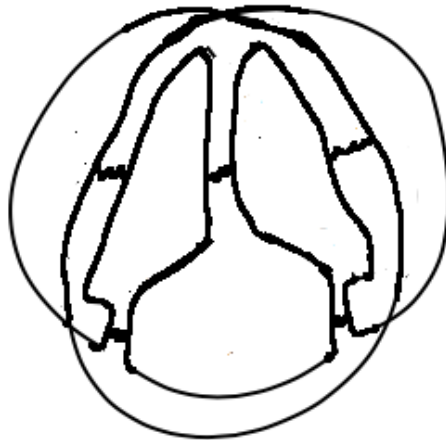
} general coloring possibility using n colors.

Fact: P cannot be colored with n colors for any n . Call P strongly uncolorable.

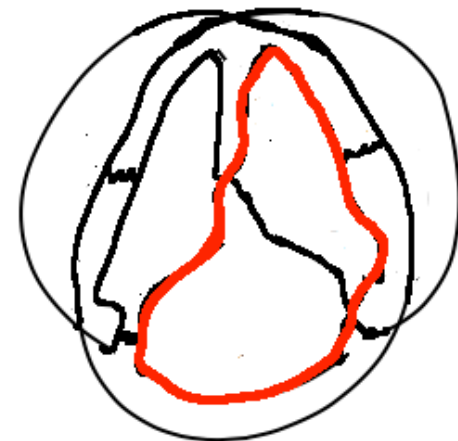
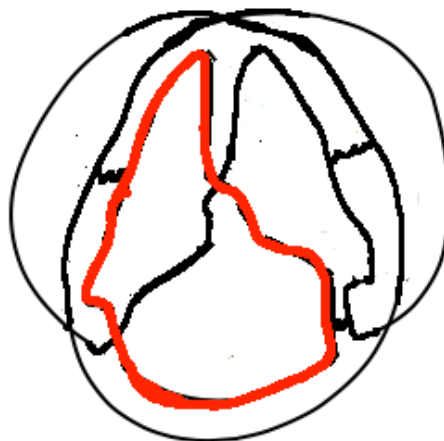
Conjecture: If G trivalent is strongly uncolorable, then $G \supset P$ as a substructure.



P



not
colorable



multiple component
but still uncolorable

states